



Australian Government

National Archives of Australia

Records Authority

2020/00254678

**INSPECTOR-GENERAL OF
BIOSECURITY**

Biosecurity Performance Review

2020



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Introduction

The Inspector-General of Biosecurity (Inspector-General) and the National Archives of Australia (National Archives) have developed this records authority to set out the requirements for keeping or destroying records for the core business area of Biosecurity Performance review. It represents a significant commitment on behalf of the Inspector-General to understand, create and manage the records of his activities.

This authority is based on the identification and analysis of the business of the Inspector-General. It takes into account the agency's legal and organisational information management requirements, and the interests of stakeholders, the agency and the National Archives.

The authority sets out those records that need to be retained as national archives and specifies the minimum length of time that temporary records need to be kept. This authority gives the Inspector-General permission under the *Archives Act 1983*, for the destruction of the temporary records described after the minimum retention period has expired. Retention periods for these temporary records are based on: an assessment of business needs; broader organisational accountability requirements; and community expectations, and are approved by the National Archives on the basis of information provided by the agency.

As changes in circumstances may affect future information management requirements, the periodic review of this authority is recommended. All amendments must be approved by the National Archives.

Application of this Authority

1. This authority is to be used to determine how long records must be kept. Records are matched to the relevant core business and records class in the authority.
 - Where the minimum retention period has expired and the records are not needed for the Inspector-General business they should be destroyed as authorised in this authority.
 - Records that have not reached the minimum retention period must be kept until they do.
 - Records that are identified as 'retain as national archives' are to be transferred to the National Archives for preservation.
2. This authority should be used in conjunction with general records authorities such as:
 - AFDA Express version 2 issued by the National Archives to cover business processes and records common to Australian Government agencies; and
 - General Records Authority (31) - Destruction of source or original records after digitisation, conversion or migration (2015).
3. The normal administrative practice (NAP) provision of the *Archives Act 1983* gives agencies permission to destroy certain records without formal authorisation. This usually occurs where records are duplicated, facilitative or for short-term use only. NAP does not replace arrangements agreed to in this authority but can be used as a tool to assist in identifying records for destruction together with an agency's records authority or authorities, and with AFDA Express version 2. The National Archives recommends that agencies develop and implement a NAP policy. Advice and guidance on destroying records, as a normal administrative practice and on how to develop a NAP policy, is available from the National Archives' website at www.naa.gov.au.
4. Records that are reasonably likely to be needed as evidence in a current or future judicial proceeding or are subject to a request for access under the *Archives Act 1983*, the *Freedom of Information Act 1982* or any other relevant act must not be destroyed until the action has been completed.
5. Records subject to a disposal freeze must not be destroyed until the freeze has been lifted. Further information about disposal freezes and whether they affect the application of this authority is available from the National Archives website at www.naa.gov.au.
6. Where the method of recording information changes (for example, from an analogue system to a digital system, or when information is migrated from one system to a new system) this authority can still be applied, providing the records document the same core business. The information

must be accessible for the period of time prescribed in this authority. The Inspector-General will need to maintain continuing access to the information, including digital information, for the periods prescribed in this records authority or until the information is transferred into the custody of the National Archives.

7. In general, retention requirements indicate a minimum period for retention. The Inspector-General may extend minimum retention periods if it considers that there is an administrative need to do so, without further reference to the National Archives. Where the Inspector-General believes that its accountability will be substantially compromised because a retention period or periods are not adequate, it should contact the National Archives for review of the retention period.
8. Records coming within 'retain as national archives' class in this authority have been determined to be part of the archival resources of the Commonwealth under section 3C of the *Archives Act 1983*. The determination of Commonwealth records as archival resources of the Commonwealth obliges agencies to transfer the records to the National Archives when they cease to be current and, in any event, within 15 years of the records coming into existence, under section 27 of the *Archives Act 1983*.
9. Records in the care of agencies should be appropriately stored, managed and preserved. Agencies need to meet this obligation to ensure that the records remain authentic and accessible over time. Under Section 31 of the *Archives Act 1983*, access arrangements are required for records that become available for public access including those records that remain in agency custody.
10. Appropriate arrangements should be made with the National Archives when records are to be transferred into custody. The National Archives accepts for transfer only those records designated as national archives. Records created digitally after 1 January 2016 can be transferred in digital formats only.
11. Advice on how to use this authority is available from the Departmental information manager. If there are problems with the application of the authority that cannot be resolved, please contact the National Archives.

Contact Information

For assistance with this authority or for advice on other information management matters, please contact National Archives' [Agency Service Centre](#).

Authorisation

Records Authority 2020/00254678

Notice of authorisation

Person to whom notice of authorisation is given:

Mr Rob Delane
Inspector-General of Biosecurity
Australian Government Department of Agriculture, Water and the Environment
GPO Box 858
Canberra ACT 2601
Australia

Purpose

Authorises arrangements for the disposal of records in accordance with Section 24(2)(b) of the *Archives Act 1983*.

Determines records classed as 'retain as national archives' in this records authority to be part of the archival resources of the Commonwealth under section 3C of the *Archives Act 1983*.

Application

All core business records relating to Biosecurity Performance Review

Authority

This authority gives permission for the destruction, retention or transfer to the National Archives of Australia of the records described. This authority will apply only with the consent of the agency currently responsible for the business documented in the records described.

Authorising Officer	Date of issue
Tatiana Antsoupova Assistant Director-General (a/g) National Archives of Australia	22 December 2020

Biosecurity Performance Review

The core business of assuring the robustness of the Australian Government's biosecurity risk management systems, programs and processes through independent evaluation and verification of these arrangements. Includes making recommendations for overall system improvements. Excludes reviewing or assessing national biosecurity policies, international trade issues and market access opportunities.

The core activities include:

- developing an annual review program;
- conducting reviews by consulting relevant stakeholders, and undertaking research and analysis;
- undertaking field work to support review activities;
- if requested, reviewing the process of conducting biosecurity import risk analyses;
- managing biosecurity reviews;
- developing and publishing biosecurity review reports; and
- monitoring implementation of review recommendations.

The performance of the core business is supported by general activities, such as:

- attending committees, meetings and conferences;
- giving and receiving routine advice ;
- preparing and delivering speeches and presentations;
- stakeholder liaison;
- developing and reviewing operational policies, procedures, protocols and guidelines;
- maintaining registers and summary records; and
- identifying, assessing and managing operational risks.

Cross references to the Department of Agriculture, Water and the Environment records authorities

For Australia's national biosecurity policies, use BIOSECURITY

For international trade issues and market access opportunities use INDUSTRY AND TRADE RELATIONS

Cross references to AFDA Express version 2

For publication of all reports, use PUBLICATIONS

For appointment and conditions of employment of the Inspector-General use, PERSONNEL MANAGEMENT

Classes

Class no	Description of records	Disposal action
62795	Records documenting: <ul style="list-style-type: none"> • Biosecurity performance reviews. Includes: <ul style="list-style-type: none"> ○ stakeholder requests; ○ topic of review; ○ stakeholder consultations and submissions; ○ field work; ○ research and analysis; ○ major drafts, final review reports; 	Retain as national archives

Class no	Description of records	Disposal action
	<ul style="list-style-type: none"> ○ recommendations on improvements in biosecurity controls; and ○ monitoring the implementation of biosecurity recommendations. • biosecurity performance review program. Includes: <ul style="list-style-type: none"> ○ consultation with the Director of Biosecurity, the Minister, and any other person the Inspector-General of Biosecurity considers appropriate; and ○ variations to the review program. • reviews of biosecurity import risk analysis and biosecurity accountability matters; • registers and summary records; • final versions of speeches and presentations delivered by the Inspector-General of Biosecurity; and • Inspector-General of Biosecurity meetings. Includes, agenda, supporting papers, and final minutes. 	
62796	<p>Records documenting:</p> <ul style="list-style-type: none"> • routine operational administrative tasks supporting the core business; and • Biosecurity Performance Review activities other than those covered in class 62795. Includes: <ul style="list-style-type: none"> ○ materials prepared for agency and public affairs purposes related to the Inspector-General of Biosecurity; ○ routine advice; and ○ development and review of operational policies, procedures, protocols and guidelines. 	Destroy 7 years after last action